

Annual Report

Genio Trust

January - December 2016



Transforming Social Services

Specialists in working with government and philanthropy
to support people in leading self-determined lives

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Foreword

**Professor Brian MacCraith, Chair, Genio Trust;
President, Dublin City University**



The Genio Trust was established in 2010 by Genio CLG in order to disburse innovation funding to projects around Ireland. The majority of funding from the Trust represents Irish government innovation funding allocated by the Health Service Executive and some funding from the Atlantic Philanthropies and private donors. Since 2010, almost €24m has been awarded to 226 projects in the areas of dementia, mental health and disability. As a result, over 6,900 people are receiving or have received personalised supports in the community. We are now entering a new phase of work, which will begin to scale some of the achievements from the past six years by supporting the refocusing of public resources to reach many more people across the country and embed changes within the public system.

Brian MacCraith
Brian MacCraith
Chair, Genio Trust

Report of the Trustees year ended 31st December 2016

The Trustees present their annual report and the audited financial statements of the year ended 31st December 2016. The audited financial statements have been prepared in accordance with accounting standards generally accepted in Ireland, giving a true and fair view. These standards are issued by the Financial Reporting Council and published by the Institute of Chartered Accountants in Ireland.

Governing Document

The Genio Trust was established by Genio Ltd. in April 2010 and registered as a charity with the Revenue Commissioners on 1st July 2010, charity number 19312.

Main object

The Trust was established by Genio Ltd. to advance the public benefit, in particular by the promotion and support of the development and provision of personalised services to meet the needs of disadvantaged and vulnerable people.

Structure, governance and management

Innovation funding is received from Government, philanthropy and private donors and disbursed by the Genio Trust for grant-making, research and capacity-building programmes. To date, the Genio Trust is supporting projects to improve the lives of people with dementia, mental health difficulties and disabilities.

The Trustees of the Genio Trust are appointed by the Board of Directors of Genio CLG. Their role is to make final decisions regarding receiving and disbursing funds, ensuring adherence to the main object of the Trust which is in line with the overall vision and mission of Genio.

Authority is delegated on a day-to-day basis to the Executive Director and Deputy Executive Director of the Company to receive and disburse funds and to negotiate and sign agreements with funders, in a manner that is consistent with the object of the Trust and decisions of the Trustees.

Conflict of interest

Trustees are required to disclose all relevant interests and register them with the Executive Director and, in accordance with the Trust's policy, withdraw from decisions where a conflict of interest arises.

Trustees

- ➔ Prof Brian MacCraith (Chair); President of Dublin City University.
- ➔ Ruth Barrington, former Assistant Secretary, Department of Health and Children, Ireland
- ➔ Geraldine Ruane, Chief Operating Officer, Trinity College Dublin.
- ➔ Barney Whelan, Director of Communications and Corporate Affairs, An Post.

Principal Office

19-21 Westland Square, Pearse St., Dublin 2
(as of April 2016).

Registered Charity Number: 20075606

CHY (Revenue) Number: 19312

Auditors

The Trustees appointed Deloitte, Chartered Accountants and Statutory Audit Firm, as Auditors for the financial year 2016.

Bankers

Ulster Bank, 15/17 Oliver Plunkett Street, Mullingar, Co. Westmeath, Ireland.

Solicitors

Arthur Cox, Earlsfort Centre, Earlsfort Terrace, Dublin 2, Ireland.

Books of Account

The measures taken by the Trustees to ensure compliance with the requirements of the Declaration of Trust, regarding proper books of account are the implementation of necessary policies and procedures for recording transactions, the employment of competent accounting personnel and appropriate expertise and the provision of adequate resources to the financial function. The books of account of the Trust are maintained at the Principal Office. See *Appendix 2 for Genio Trust accounts*.

Approved by the Trustees on 7th June 2016.

Our vision

- ➔ A society that benefits by valuing all of its citizens.

Our mission

- ➔ To provide opportunities that ensure people who are disadvantaged receive the support they need to live full lives in their communities.

Strategic objectives

- ➔ **Innovation Funding** - to release funds to encourage innovation and cost-effectiveness. These funds are outcome-focused and performance-managed.
- ➔ **Capacity Building** - to support and capacity build key stakeholders to manage and implement service transformation.
- ➔ **Measuring Impact** - to undertake and commission research to measure impact.

About the Genio Trust

The purpose of the Trust is to advance the public benefit, in particular by the promotion and support of the development and provision of personalised services to meet the needs of disadvantaged and vulnerable people.

The Trust furthers its charitable purposes for the public benefit through its innovation funding policy. To date, the Genio Trust is working with Government and philanthropy to support projects to improve the lives of people with dementia, mental health difficulties and disabilities.

Innovation funding policy

The Genio Trust releases funds on a competitive basis to encourage innovation and cost-effectiveness. The innovation funding is designed to be a catalyst in facilitating transformation in health and social care services. Financial support is important to fund the transition from traditional service models to one which provides personalised supports to those who need them.

The criteria against which applications for funding are evaluated are developed with the HSE, Department of Health and The Atlantic Philanthropies.

Innovation funding process 2016

Dementia Programme

There was no new funding rounds for dementia in 2016. Work continues on projects awarded funding in 2012 and 2013. Genio is currently supporting the implementation of Intensive Home Care Packages (IHCPs) for people with dementia. A Home Care Package is a set of services provided by the HSE to help an older person to be cared for in their own home. Services provided through an Intensive Home Care Package are flexible, but might include home support hours, nursing care, physiotherapy, respite care etc. to support the person with dementia's needs. Genio is supporting the HSE with the implementation of personalised IHCPs, which includes sharing learning from the experience around the country of implementing IHCPs for people with dementia and enhancing the integration of services. Genio has also been commissioned by the HSE to carry out a research study on the implementation and impact of IHCPs for people with dementia.

Service Reform Fund

The Service Reform Fund (SRF) has been created by the Department of Health, the Health Service Executive (HSE) and the Atlantic Philanthropies, in collaboration with Genio, to implement mental health and disability service reform in Ireland. These reforms will focus on ensuring that person-centred and recovery-oriented services and supports are embedded, in line with government policy.

SRF Mental Health

SRF funding in mental health is open to applicants from each of the nine national HSE Community Healthcare Organisations (CHO). The SRF is working closely with representatives from each of these organisations, who have been invited to develop proposals for reform in their areas.

Priority areas for reform

Successful proposals to the SRF will focus on the four key areas below and will look outside of the mental health service into communities, the voluntary sector, and other mainstream organisations, to form alliances which will effectively support the reconfiguration of services.

- Advancing Recovery
- Employment - Supporting Individual Placement and Support
- Community based living - Transitioning from HSE provided accommodation
- Homelessness - Supporting the mental health needs of individuals who are homeless during transitions to housing arrangements

In June 2016, the 9 CHOs and the National Mental Health Forensic Services began the process of developing proposals to enhance their internal capacities to plan and project manage service reform. Initial consultations took place with service users and mental health non-profit organisations to consider how best to reconfigure the services which they operate to promote a recovery focus, with self-direction at the centre.

Proposals were submitted in August and assessed by an SRF grants committee which included staff from the HSE and Genio, service users and family representatives. Following a number of rounds of feedback and critique, grants were made ranging in scale from €40,000 to €130,000.

These resources will be used to consult with stakeholders; analyse existing capacities and areas of weakness in services; and to develop robust reform plans which will then be submitted in mid-2017. This second round of funding will enable Mental Health services to move away from funding more traditional group-based, medicalised services towards more recovery focused, self-directed services. Approximately, €10m will be allocated in this second round. All proposals will be submitted in 2017 through the 9 CHOs and will be evaluated against criteria agreed by the SRF partners. Funding is targeted specifically at sustainable reform and reconfiguration of services. The HSE and Genio will be collaborating closely on this work.

SRF Disability

Within the Service Reform Fund for disability there are two strands. The first is a targeted and accelerated change programme working with 10 congregated sites (institutions). The focus of this work is to build self-directed, community based services for the people living in these congregated settings. In 2016, initial site visits, meetings and capacity building took place. Draft proposals for innovation funding were prepared by each site. In 2017, further capacity building will take place and a first tranche of funding will be awarded to successful applicants.

The second strand will be a competitive grants round in 2017 targeted at supporting person-centred approaches to community living, reform of day services and alternative respite services.

All proposals will be evaluated against criteria agreed by the SRF partners. Funding is targeted specifically at sustainable reform and reconfiguration of services. The HSE and Genio will be collaborating closely on this work.

Service Reform Funding January 2016 - December 2016

Funding was awarded in December 2016 through the Service Reform Fund for Mental Health to each of the nine national HSE Community Healthcare Organisations (CHO). Funding ranged in scale from €40,000 to €130,000. These resources will be used to consult with stakeholders; analyse existing capacities and areas of weakness in services; and to develop robust reform plans which will then be submitted in mid-2017. Further funding will be awarded in 2017 based on these proposals.

Organisation	Location	Funding awarded
CHO 1	Donegal, Sligo/Leitrim/West Cavan and Cavan/Monaghan	€130,000
CHO 2	Galway, Roscommon and Mayo	€120,000
CHO 3	Clare, Limerick, and North Tipperary/East Limerick	€110,000
CHO 4	Kerry, North Cork, North Lee, South Lee, and West Cork	€120,000
CHO 5	South Tipperary, Carlow/Kilkenny, Waterford and Wexford	€130,000
CHO 6	Wicklow, Dun Laoghaire and Dublin South East	€40,000
CHO 7	Kildare/West Wicklow, Dublin West, Dublin South City, and Dublin South West	€120,000
CHO 8	Laois/Offaly, Longford/Westmeath, Louth and Meath	€110,000
CHO 9	Dublin North, Dublin North Central and Dublin North West	€110,000
National Forensic Mental Health Service	Dublin	€45,000
<i>Funding will be released in 2017 on the basis of progress achieved over the course of the funding period.</i>		Total €1,035,000

Financial Review

In 2016, the Trust received a total contribution of almost €2.3m. This comprised of €1.3m from the HSE for the allocation of innovation funding through the Disability, Mental Health and Dementia Programmes. A contribution of €1m from The Atlantic Philanthropies was received for the allocation of innovation funding through the Dementia Programme, to support training and capacity building, to commission research associated with the projects and cover overheads. In addition, €45,820 was received from private donors and other income to support training and capacity building.

During 2016 the Trust was engaged with the establishment of the Service Reform Fund (SRF) with the HSE, the Department of Health and the Atlantic Philanthropies and therefore no new grants were disbursed in 2016. However, €1,382,764 was released to ongoing projects in disability, mental health and dementia on the basis of progress achieved. In addition, €488,852 was released for capacity building initiatives, commissioned research associated with the projects and to cover associated overheads. €500,000 was allocated to Genio CLG for activity supporting the business of the Trust. €88,848 was returned to the Trust due to underspends and cost-savings made by two disability projects. The returned funds will be re-directed in consultation with the funding partners.

In December 2016, €1,035,000 was awarded through the Service Reform fund for Mental Health to each of the nine national HSE Community Healthcare Organisations (CHO). Funding ranged in scale from €40,000 to €130,000 (*see page. 7 for more details*). The funding will be disbursed through the Trust in 2017.

Personnel remuneration

All Trustees give of their time freely and no Trustee remuneration was paid in the year. No Trustee expenses or related party transactions have been claimed.

Support (including planning, capacity building, monitoring, support and evaluation) is provided by the staff of Genio CLG (*see Annual Report 2016 for Genio CLG*)

Reserves policy

The Trust holds sufficient funds for projects awarded innovation funding on a multi-annual basis and for research and capacity building activities associated with the projects. As the Trust does not have any employees or running costs it does not require a reserve for this purpose.

The Trustees consider that there are no material uncertainties about the Trust's ability to continue as a going concern.

Risk management

The principal risks faced by the Trust lie in the ability of those who are awarded innovation funding to achieve the outcomes agreed. The risk is ameliorated through a rigorous assessment process of all applications to the Trust; and the subsequent contracting, monitoring and support mechanisms in place in order to performance manage projects, which are awarded funding.

The other risk posed relates to the ability of the Trust to raise income to allow for the continuation of the Trust. All existing projects awarded innovation funding through the Trust are funded to their completion on the basis of agreements with funders. Commitments are not made for projects where funding is not already secured.

Our Risk Management Policy requires that we do a full risk assessment each year. This means identifying risks and putting measures in place to avoid, minimise or mitigate our main risks.

The responsibility for the management and control of the Genio Trust rests with the Board of Trustees and their involvement in the key aspects of the risk management process is essential, particularly in setting the parameters of the process and reviewing and considering the results.

Trustees' responsibilities in relation to the financial statements

The Declaration of Trust requires the Trustees to prepare financial statements for each financial period, which give a true and fair view of the state of affairs of the Trust for that period. In preparing those financial statements, the trustees are required to:

- Select suitable accounting policies and then apply them consistently from year to year.
- Make judgements and estimates that are reasonable and prudent.
- Prepare the financial statements on the going concern basis unless it is inappropriate to presume that the Trust will continue in existence.

The trustees are responsible for keeping proper books of account which disclose with reasonable accuracy at any time the financial position of the Trust and to enable them to ensure the financial statements are prepared in accordance with accounting standards generally accepted in Ireland.

The measures that the Trustees have taken to ensure compliance are the utilisation of appropriately qualified accounting personnel and the maintenance of computerised accounting systems. The books of account are maintained at the Trust's office at 19-21 Westland Square, Pearse St., Dublin 2 (as of April 2016). *See Appendix for Genio Trust accounts.*

They are also responsible for safeguarding the assets of the Trust and hence for taking reasonable steps for the prevention and detection of fraud and other irregularities.

In so far as the trustees are aware:

- There is no relevant audit information (information needed by the Trust's auditors in connection with preparing their report) of which the Trust's auditors are unaware.
- The Trustees have taken all the steps that they ought to have taken to make themselves aware of any relevant audit information and to establish that the Trust's auditors are aware of that information.

Fundraising standards and Charity Regulatory Authority

We are fully committed to achieving the standards contained within the Statement of the Charities Institute Ireland (see www.genio.ie/fundraising-standards). Genio is registered with the Charity Regulatory Authority (CRA 20075606).

2016 Distribution of Funding from the Genio Trust

Funding was released for multi-annual ongoing projects in disability, mental health and dementia on the basis of progress achieved.

Project Type	Funding Stream	Description	Year Funding Awarded	Amount Released	No. of Projects
Disability	Community Living	To develop non-institutional models of residential support for individuals in the community.	2012 2013	€236,690	2
Disability	Alternative Respite	To develop a range of non-institutional respite options for individuals with disabilities & their families.	2012	€56,160	1
Mental Health	Community Living	To develop non-institutional models of residential support for individuals in the community.	2012	€19,960	1
Mental Health	Supporting Recovery	To assist with the reconfiguration of services so that individuals are supported to remain within their own communities or to return there.	2013 2014	€484,770	10
Mental Health	Capacity Building	To provide a range of capacity building and training opportunities for people with mental health difficulties, families, advocates, staff and other relevant stakeholders.	2014	€10,779	1
Mental Health	Supporting Work, Education & Training	To develop opportunities to support people with mental health difficulties to remain in, or to access work, and work enhancing education/training in the mainstream.	2014	€20,500	2
Dementia	Integrated Care Pathways (ICP)	To design & demonstrate an effective ICP which would facilitate & support people with dementia.	2013	€368,262	3
Dementia	Individualised Supports in the Community	To design and provide individualised, community-based supports for individuals with dementia.	2011 2013	€185,643	8
			Total	€1,382,764	28

In addition to innovation funding awarded to projects, **€488,852** was allocated to capacity building, commissioned research associated with the projects and to cover associated overheads. **€500,000** was allocated to Genio CLG for activity supporting the business of the Trust. **€88,848** was returned to the Trust due to underspends and cost-savings made by two of the disability projects. The returned funds will be re-directed in consultation with the funding partners.

Achieving Impact

Since 2010, we have awarded innovation funding (primarily Government innovation funding through the HSE) from the Genio Trust to 226 projects nationally to provide over 6,900 people with individualised supports in the community. In addition 12,800 individuals, families, carers and staff have received information and training through the projects we support. We have awarded over €24m in innovation funding to date which has unlocked a further €31.3m in resources through the projects we support.

Dementia Programme

We are working with government and philanthropy to meet the challenges in dementia through the HSE & Genio Dementia Programme. The aim of the programme is to ensure people with dementia continue to lead self-determined lives at home connected to their family, neighbours and community for as long as possible. The programme involves developing and testing a range of personalised, flexible and responsive supports and services. This work is aligned with government policy and supported by The Atlantic Philanthropies.

To date €4.9m innovation funding has been awarded to 13 dementia projects around

Ireland in partnership with the Health Service Executive Ireland (HSE) and the Atlantic Philanthropies.

Projects in the Dementia Programme are led by multi-stakeholder Dementia Consortia and focus on reaching individuals across wider geographic and catchment areas. Consortia include people with dementia, families and carers, mainstream organisations and community groups, older people's services, hospitals and nursing homes.

Community-based supports for people with dementia: Nine community-based projects are being supported through the programme between 2012 - 2017 across broad geographical areas in Ireland. These projects are developing a range of services and supports that are determined by the needs and preferences of people with dementia from early to more advanced and complex stages. In addition a tenth project received funding to develop and share online films to promote positive cognitive health behaviours, educate and combat the stigma associated with dementia and other degenerative brain diseases.

Transition between hospital and the community: This element of the programme focuses on the acute hospital sector. Three projects are developing integrated care pathways (ICP) for people with dementia so that access to and discharge from hospital is as seamless as possible, and that their experience is as good as it can be. Some of the work being undertaken by the hospitals include staff awareness-raising and education; environmental changes; enhanced integration of services; and process improvements.

Live projects

(January - December '16)

There are currently 4 projects underway within the Dementia Programme. These projects were awarded funding in 2013 with project durations of 2 years and 3 years. The two main streams of work are:

(i) Integrated care pathway in the acute sector (2013)

There are three projects undertaking this work - St. James's Hospital (SJH); Mercy University Hospital Cork; Connolly Hospital and Dublin North West Local Health Office.

- Catchment areas of North & South Dublin and Cork.
- 5,201 people reached through education and awareness raising to date.

(ii) Individualised supports for persons with complex needs (2013)

There were five projects undertaking this work - Louth Age Friendly Dementia Consortium; Carlow / Kilkenny Service Providers Forum; Mallow Crystal Consortium; Roscommon Dementia Friendly Community Group; Leitrim Day Hospital Consortium. The Mallow Crystal Consortium project is still active. In total to date, the five projects have provided:

- 279 people with dementia supported.
- 578 carers/family members supported.
- 29,928 HSE home support hours were delivered in a personalised way.
- 9,886 additional support hours were provided.
- 759 hours of training and education delivered.
- 49 people making use of assistive technology.

Mental Health Programme

We are working with government and philanthropy to meet the challenges in mental health by supporting the development of recovery-based, cost-effective, personalised services that enable people with mental health difficulties to live as included and valued members of the community. This involves a move away from traditional, often expensive, services which group and segregate people focussing on their deficits rather than their strengths.

Recovery is about living as full and valued a life as possible while experiencing mental health difficulties. By putting recovery as the guiding principle of services, each person is supported on their journey towards an independent, self-directed life, centred on strengths, solutions, health and wellness.

To date €8m innovation funding has been awarded to 103 mental health projects around Ireland in partnership with the Health Service Executive Ireland (HSE) and the Atlantic Philanthropies.

Live projects

(January - December '16)

There are currently 5 mental health projects underway supporting 466 individuals around the country. These projects were awarded funding in 2013 and 2014 with project durations ranging from one to three years. The two streams of work are:

Supporting Recovery

- 3 projects
- 238 people

Supporting Work, Education & Training

- 2 projects
- 228 people

Disability Programme

We are working with government and philanthropy to meet the challenges in disability by supporting the development of cost-effective, personalised services that enable people with disabilities to live as included and valued members of the community. This involves a move away from traditional, often expensive, services which group and segregate people, often focussing on their deficits rather than their strengths. Personalised services means putting the person at the heart of service design and delivery, giving people what they need, when and where they need it.

Early Innovation in Disability 2010 - 2015

To date €10.8m innovation funding has been awarded to 110 disability projects around Ireland in partnership with the Health Service Executive Ireland (HSE) and the Atlantic Philanthropies.

Live projects

(January - December '16)

There are currently 10 disability projects underway supporting 216 people around the country. These projects were awarded funding in 2012 and 2013 with project durations ranging from one to three years. The three streams of work are:

Integrated Community Living

- ⇒ 8 projects
- ⇒ 184 people

Alternative Respite

- ⇒ 2 projects
- ⇒ 32 people

To date, 158 completed disability and mental health projects have been scored, which generated the following results:

- ⇒ 84% of projects to date have achieved or exceeded their project aims
- ⇒ 16% of projects have not yet achieved all of their project aims

By the numbers 2010 – 2016

1,292

Applications
received

226

Approved
projects

6,900

People to receive
personalised
services

12,800

Individuals, family
members & staff
received training &
information through
the projects we
support

6,200

People reached
through events,
conferences & other
public forums

€23.7m

Innovation
funding awarded

€31.3m

Further resources
unlocked through
supported projects

11

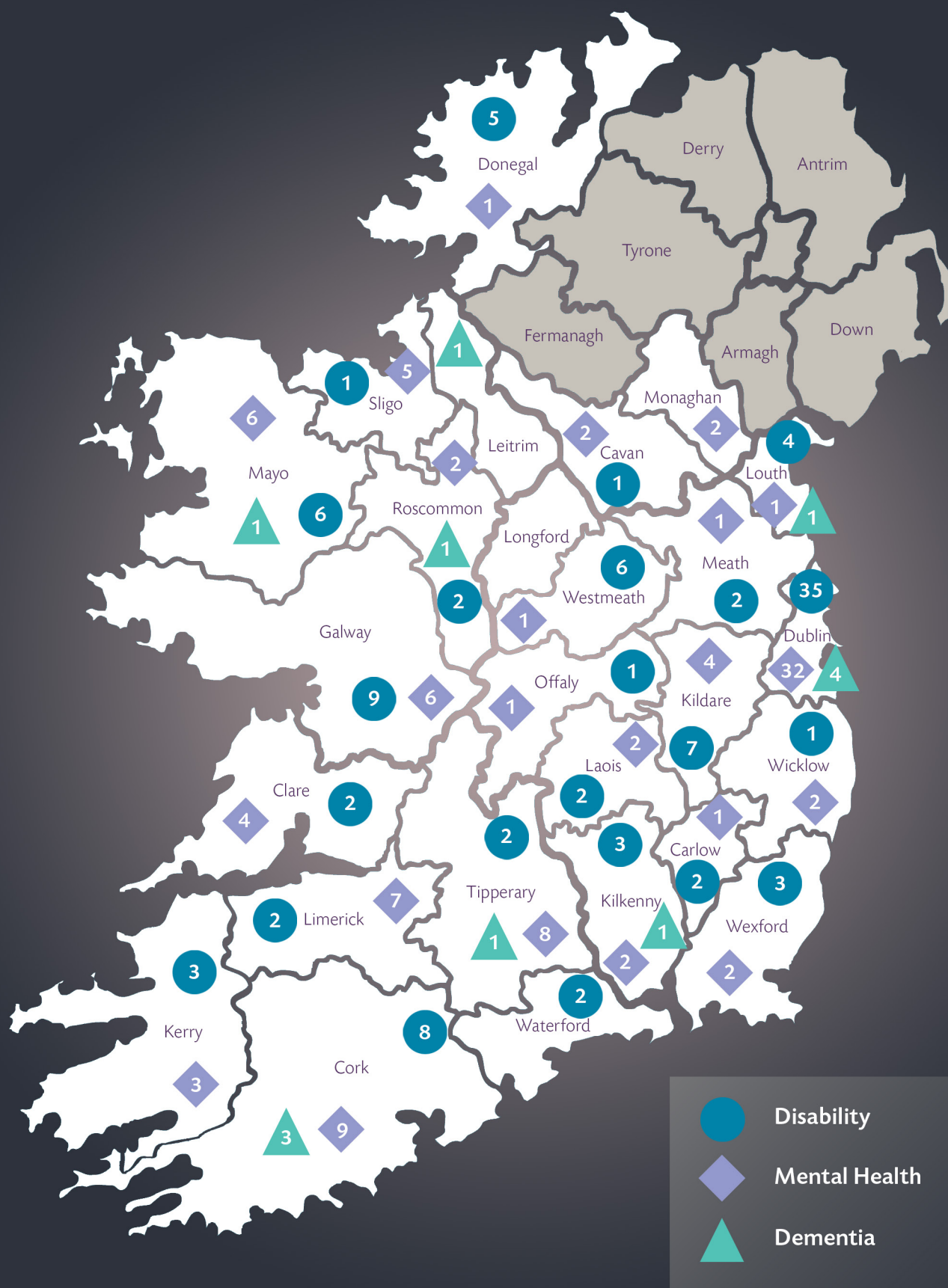
Mental health
residential units
closed

4

Disability
institutions
closed

Projects supported by the Genio Trust 2010 – 2016

Since 2010 Genio innovation funding has been awarded to 226 projects across Ireland in disability, mental health and dementia



Appendix

THE GENIO TRUST STATEMENT OF INCOME AND RETAINED EARNINGS FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2016

	12 Months 31/12/2016 €	18 Months 31/12/2015 €
INCOMING RESOURCES		
Income	2,274,198	5,829,910
Other interest receivable and similar income	<u>8,570</u>	<u>40,714</u>
	<u>2,282,768</u>	<u>5,870,624</u>
OUTGOING RESOURCES		
Grant release	(1,893,916)	(5,459,594)
Research expenses	(297,209)	(372,961)
Other expenses	<u>(91,643)</u>	<u>(29,789)</u>
	<u>(2,282,768)</u>	<u>(5,862,344)</u>
SURPLUS FOR THE YEAR	-	8,280
RETAINED EARNINGS AT THE BEGINNING OF THE REPORTING YEAR	<u>88,275</u>	<u>79,995</u>
RETAINED EARNINGS AT THE END OF THE REPORTING YEAR	<u>88,275</u>	<u>88,275</u>

THE GENIO TRUST
BALANCE SHEET
AS AT 31 DECEMBER 2016

	2016 €	2015 €
CURRENT ASSETS		
Cash at bank and in hand	12,378,276	12,535,616
CREDITORS (Amounts falling due within one year)	(12,160,001)	(2,065,403)
NET CURRENT ASSETS	<u>218,275</u>	<u>10,470,213</u>
TOTAL ASSETS LESS CURRENT LIABILITIES	218,275	10,470,213
CREDITORS (Amounts falling due more than one year)		
Deferred income	(130,000)	(10,381,938)
NET ASSETS	<u>88,275</u>	<u>88,275</u>
CAPITAL AND RESERVES		
Retained earnings	<u>88,275</u>	<u>88,275</u>

THE GENIO TRUST

STATEMENT OF CASH FLOWS FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2016

	Year ended 31/12/2016 €	18 months ended 31/12/2016 €
Reconciliation of surplus for the year to net cash inflow from charitable activities		
Surplus for the year	-	8,280
Decrease in debtors	-	11,686
Increase/(decrease) in creditors	569,942	(10,000)
Net cash inflow from charitable activities	569,942	9,966
Cash flow from investing activities		
Interest and similar income received	8,570	40,714
Net cash flow from investing activities	8,570	40,714
Cash flow from financing activities		
Grant received	822,942	15,110,404
Grant released	(1,884,200)	(5,829,910)
Transfer from Genio CLG	325,406	295,585
Net cash flow from financing activities	(735,852)	9,576,079
Net (decrease)/ increase in cash and cash equivalents in the financial year	(157,340)	9,626,759
Cash and cash equivalents at the beginning of the financial year	12,535,616	2,908,857
Cash and cash equivalents at the end of financial year	12,378,276	12,535,616

Thank you

We would like to extend our thanks to the Department of Health, the HSE and the Atlantic Philanthropies for their continued support; to our Boards and Committees who continue to offer their expertise and time on a voluntary basis; and the many individuals, families, projects and organisations for their dedication and efforts to support people to lead self-determined lives in the community.



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